# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, DC 20549

# FORM 8-K

# **CURRENT REPORT**

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): June 15, 2020



(Exact name of registrant as specified in its charter)

#### Delaware

(State or other jurisdiction of incorporation)

### 001-33170

(Commission File Number)

#### 95-4812784

(I.R.S. Employer Identification Number)

# 175 Technology Drive, Suite 150 Irvine, California 92618

(Address of principal executive offices)

# (949) 435-0025

(Registrant's telephone number, including area code)

Check the appropriate box below if the Form 8-K filing is provisions:	intended to simultaneously satisfy the filing obliga-	ation of the registrant under any of the following
<ul> <li>□ Written communications pursuant to Rule 425 under th</li> <li>□ Soliciting material pursuant to Rule 14a-12 under the E</li> <li>□ Pre-commencement communications pursuant to Rule</li> <li>□ Pre-commencement communications pursuant to Rule</li> </ul>	Exchange Act (17 CFR 240.14a-12) 14d-2(b) under the Exchange Act (17 CFR 240.14	· //
Securities registered pursuant to Section 12(b) of the Act:		
Title of each class	Trading Symbol(s)	Name of each exchange on which registered
Common Stock, par value \$0.001 per share	NLST	None
Indicate by check mark whether the registrant is an emergi Rule 12b-2 of the Securities Exchange Act of 1934 (§240.)		Securities Act of 1933 (§230.405 of this chapter) or
Emerging growth company □		
If an emerging growth company, indicate by check mark if revised financial accounting standards provided pursuant to	2	transition period for complying with any new or

#### Item 8.01. Other Events.

On June 15, 2020, Netlist, Inc. (the "Company") filed new legal proceedings for patent infringement against SK hynix in the U.S. District Court for the Western District of Texas based on the infringement of the Company's U.S. Patent No. 10,217,523 (the "523 patent") by SK hynix's LRDIMM memory products. The 523 Patent is the third patent and the second complaint Netlist has filed against SK hynix in the U.S. District Court for the Western District of Texas this year.

#### **Forward-Looking Statements**

This Current Report on Form 8-K may contain forward-looking statements within the meaning of the Private Securities Litigation Reform Act of 1995. Forward-looking statements provide current expectations or forecasts of future events and are not guarantees of future performance. The forward-looking statements are based on Netlist's expectations and are subject to numerous risks and uncertainties. Forward-looking statements are typically identified by using words such as "plan," "anticipate," "estimate," "project," "intend," "believe," "will" and similar expressions.

Although Netlist's management believes that the expectations reflected in such forward-looking statements are reasonable, actual results may differ materially from those expressed or implied in such statements. Undue reliance should not be placed on the forward-looking statements, which speak only as of the date hereof. Netlist does not undertake, and specifically disclaims any obligation, to publicly release the result of any revisions that may be made to update any forward-looking statement to reflect the events or circumstances after the date on which the forward-looking statement is made, or reflect the occurrence of unanticipated events, except to the extent required by law.

# **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

NETLIST, INC.

Dated: June 19, 2020 By: /s/ Gail M. Sasaki

Gail M. Sasaki

Vice President and Chief Financial Officer