

[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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# STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *					2. Issuer Name <b>and</b> Ticker or Trading Symbol								5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
Hong Chun K					NETLIST INC [ NLST ]							X Director	,	100	6 Owner		
(Last) (First) (Middle)					3. Date of Earliest Transaction (MM/DD/YYYY)									X Officer (give title below) Other (specify below)			
111 ACADEMY, SUITE 100						9/6/2022								President, CEO and Chairman			
(Street)					4. If Amendment, Date Original Filed (MM/DD/YYYY)								6. Individual o	6. Individual or Joint/Group Filing (Check Applicable Line)			
IRVINE, CA 92617 (City) (State) (Zip)													X _ Form filed by One Reporting Person Form filed by More than One Reporting Person				
			Table I	- Non-D	eriva	ative Sec	urities Ac	quir	ed, Di	posed o	of, or	r Be	eneficially Owne	·d			
1. Title of Security (Instr. 3) 2. Trans. I			. Trans. Dat	Date 2A. Deemed Execution Date, if any		3. Trans. Co (Instr. 8)	ode	4. Securities Acq or Disposed of (I (Instr. 3, 4 and 5)		) Follov			Amount of Securities Beneficially Owned sllowing Reported Transaction(s) sstr. 3 and 4)		Ownership Form: Direct (D)	Beneficial Ownership	
							Code	v	Amou	_ ` ′		rice				or Indirect (I) (Instr. 4)	(Instr. 4)
Common Stock 9/6/202				9/6/2022			F		12968		_	2.84		1659245			
Common Stock 9/7/202				9/7/2022			F		32095	( <u>1</u> ) <b>D</b>	\$2	2.78	1	627150		D	
Common Stock													4611177			I	Hong- Cha Property Trust
	Tab	le II - Der	rivative S	Securitie	s Be	neficiall	y Owned (	e.g.,	puts,	calls, wa	arra	nts,	options, conver	tible secu	ırities)		
(Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Trans. Date	3A. Deem Execution Date, if an	(Instr.		de 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			6. Date Exercisable and Expiration Date			uritio ivati	and Amount of es Underlying ve Security and 4)		9. Number of derivative Securities Beneficially Owned Following	10. Ownership Form of Derivative Security: Direct (D)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Cod	e '	V (A)	(D)	Date Exe	e rcisable	Expiration Date	Title		mount or Number of nares		Reported Transaction(s) (Instr. 4)	or Indirect (I) (Instr. 4)	

## **Explanation of Responses:**

(1) Represents shares being withheld by issuer to satisfy tax withholding obligations associated with the vesting of restricted stock.

#### **Reporting Owners**

Treporting 6 where										
Banartina Overnar Nama / Address	Relationships									
Reporting Owner Name / Address	Director	10% Owner	Officer	Other						
Hong Chun K 111 ACADEMY, SUITE 100 IRVINE, CA 92617	X		President, CEO and Chairman							

### **Signatures**

/s/ Gail Sasaki, Attorney-in-Fact 9/8/2022

\*\*Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.